



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shih-Jen Liu, et al  
 Serial No. : 10/072,185  
 Filed : February 8, 2002  
 Title : ENHANCING CELL-BASED IMMUNOTHERAPY

Art Unit : Unknown  
 Examiner : Unknown

**COPY**

**BOX MISSING PARTS**  
 Commissioner for Patents  
 Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts of Application under 37 U.S.C. § 1.53(b) mailed April 9, 2002 (copy enclosed), applicants claim small entity status (see 37 CFR 1.27) and submits herewith the following:

- ☒ Payment of the surcharge of \$65 for late filing of the basic filing fee and/or declaration;
- ☒ A check in the total amount of \$65 is attached;
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR § 1.63;

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 5-10-02

Y. Rocky Tsao  
 Y. Rocky Tsao  
 Reg. No. 34,053

Fish & Richardson P.C.  
 225 Franklin Street  
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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

May 13, 2002  
 Date of Deposit  
Diane M. Saturno  
 Signature  
Diane M. Saturno  
 Typed or Printed Name of Person Signing Certificate



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shih-Jen Liu, et al  
Serial No. : 10/072,185  
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Title : ENHANCING CELL-BASED IMMUNOTHERAPY

Art Unit : 1633  
Examiner : Unknown

**BOX MISSING PARTS**

U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

SUPPLEMENTAL RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 U.S.C. §1.53(b) mailed April 9, 2002 (copy enclosed), applicants/claim small entity status (see 37 CFR 1.27) and submit herewith the following:

- ☒ Copy of the Response to Notice to File Missing Parts filed May 13, 2002 (4 pages);
- ☒ Preliminary Amendment (6 pages);
- ☒ A Verified Statement under 37 CFR §1.821(f); and
- ☒ A paper copy (2 pages) and computer-readable copy (1 diskette) of the Sequence Listing.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

June 5, 2002

Date of Deposit

Signature

Jennifer H. Payne

Typed or Printed Name of Person Signing Certificate

Applicant : Shih-Jen Liu, et al  
Serial No. : 10/072,185  
Filed : February 8, 2002  
Page : 2

Attorney's Docket No.: 13886-002001 / 01P0325

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050, referencing attorney docket number 13886-002001.

Respectfully submitted,

Date: \_\_\_\_\_

6-5-02

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/072,185	02/08/2002	Shih-Jen Liu	13886-002001

Y. ROCKY TSAO  
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Boston, MA 02110-2804



**COPY**

CONFIRMATION NO. 3503

## FORMALITIES LETTER



\*OC000000007833008\*

Date Mailed: 04/09/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

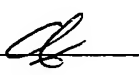
For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600

- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

  
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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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